

**Forum on**  
**IMPROVING PLANNING FOR CLIMATE FINANCE AND**  
**INVESTMENTS FOR ACTIONS AT THE LOCAL LEVEL**

*Daewoo Hotel, Hanoi Vietnam*  
*13:00 – 17:00, 31<sup>st</sup> October 2018*

## **I. BACKGROUND**

Implementing climate actions necessitates multilevel governance. The Paris Agreement has recognised that “the engagement of all levels of government in addressing climate change is pivotal to holding the increase in the global average temperature to well below 2 °C above pre-industrial levels and to increasing the ability to adapt to the adverse impacts of climate change and foster climate resilience”. As such, sub-national governments, including cities, in Vietnam have a critical role to play in ensuring the achievement of the goals set forth in the **Vietnam Plan for Implementation of the Paris Agreement (PIPA)**. The Implementation Plan was approved in October 2016 and was developed based on national policies and decisions including, among others, the National Climate Change Strategy, the Vietnam Green Growth Strategy.

Through active national government support and directions, some local level authorities in Vietnam have been mobilized and are now developing their climate action plans given the PIPA. Moving forward, this climate actions plans would require financing and investments to deliver results. During COP 21 of the UNFCCC, Vietnam reported that “there is still a significant gap to meet investment and technical assistance needs in the country in view of the Green Growth Action Plan”. In the same presentation of climate finance needs, it was said that for Vietnam “around US\$30 billion is needed by 2020, of which 70% targeted (to come) from non-public sources”. Aligned with such realities, the PIPA outlines under the Development and Efficient Utilization of Resources” key tasks focused on Human, Technological and Financial aspects towards successful implementation of the plan (tasks 45 to 50).

Vietnam’s Plan for Implementation the Paris Agreement contains Capital Solutions that shall be considered by all actors tasked. In mobilization and utilization of resources for task implementation, the PIPA notes that “**ministries, sectors, and localities are responsible for mobilization and management of resources to respond to climate change according to assigned roles and tasks, and directions outlined in the Plan**”. As such, local leaders must be able to identify clear strategies on bridging their climate action plans towards implementation through proactive resource mobilization, structuring of investments, and strategically developing specific projects for climate finance.

To contribute to the capacity building for local authorities on planning and implementing local climate actions, the "Vertical Integration and Learning on Low Emission Development (V-LED)" Project of UN-Habitat, funded by the German Ministry of Environment (BMU) as part of its International Climate Change Initiative (IKI), in association with Department of Climate Change, Ministry of Natural Resources and Environment (MONRE) is organizing a Forum on "**Improving Planning for Climate Finance and Investments for Climate Actions at the Local Level**" in the afternoon of 31<sup>st</sup> October 2018. The event will be

participated in by Ministries and sectors, development agencies, donors, research agencies, community organizations, private sector and especially cities. Discussions will take-off from the sharing of the PIPA with focus on the roles of localities/cities in the implementation as well as the financing and investment landscape that shall be considered by local authorities. The event will likewise provide an opportunity for participants to learn and exchange information about practical or strategic actions that may be considered by city local leaders to address issues on climate financing at the local level vis-à-vis current mechanisms and sector realities.

## II. OBJECTIVES

- To share Vietnam’s Plan for Implementation of the Paris Agreement and the role/s of cities/localities in achieving the results targeted in the Plan
- To inform participants about resource mobilization framework as well as share the landscape of climate finance and potential investments that may support city/local level action on resilience building through adaptation and mitigation/low emission development initiatives
- To identify issues as well as practical or strategic actions to address the issues in order for cities/local authorities to mobilize resources and finance for urban climate actions
- To discuss with cities/local authorities their current/emerging requirements and local priorities for climate financing and investments and conduct a “design sprint” for potential projects

## III. TENTATIVE AGENDA

Time	Activity	Key points/Remarks
13:00-13:30	Participant registration	
13:30-13:45	Opening session	
	Welcoming speech <ul style="list-style-type: none"> <li>• Representative from DCC, MONRE</li> <li>• Representative from MPI</li> <li>• Representative from UN-Habitat</li> </ul>	
Part 1	<b>Setting the Scene</b>	
13:45-14:00	<ul style="list-style-type: none"> <li>• Presentation on the “Plan for Implementation of the Paris Agreement” <i>(Department of Climate Change)</i></li> </ul>	<ul style="list-style-type: none"> <li>- General status of PIPA</li> <li>- Role of the cities/local level authorities in the PIPA</li> <li>- Priorities for climate finance and investments that should be considered by cities/local level authorities as detailed in</li> </ul>

		the PIPA
<b>14:00-14:20</b>	<ul style="list-style-type: none"> <li>Vietnam's Climate Change investments and Green Growth: needs and current opportunities</li> </ul> <p><i>Ministry of Planning and Investment</i></p>	<ul style="list-style-type: none"> <li>General Status of Climate Finance needs and gaps</li> <li>Framework for resource mobilization and the roles of or expectations from cities/local level authorities</li> <li>Opportunities and guide in engaging with the private sector and external partners</li> </ul>
<b>14:20-14:30</b>	<b>Q/A and Plenary Dialogue on Part 1</b>	
Part 2	<b>Cases and Experiences from key initiatives on linking local climate plans to finance</b>	
<b>14:30-14:45</b>	<ul style="list-style-type: none"> <li>Moving climate actions by mainstreaming in the Planning and Budgeting process at the sub-national level</li> </ul> <p><i>(GIZ)</i></p>	<ul style="list-style-type: none"> <li>Process used/applied</li> <li>Key learnings</li> <li>Challenges and how they were addressed</li> </ul>
<b>14:45-15:00</b>	<ul style="list-style-type: none"> <li>Public-Private Partnership or Private Sector engagement in a city for green growth and resilience</li> </ul> <p><i>(tbc)</i></p>	<ul style="list-style-type: none"> <li>Process used/applied</li> <li>Key learnings</li> <li>Challenges and how they were addressed</li> </ul>
<b>15:00-15:10</b>	Q/A and Wrap-up and Synthesis of Parts 1 and 2	
<b>15:10 – 15:20</b>	<i>Tea Break</i>	
<b>Part 3</b>  <b>(15:20 – 16:30)</b>	<b>Deep Dive into Climate Finance Opportunities and Practical Steps to implement climate action plans</b>	<i>To highlight what cities need to know to access climate financing and share some examples/opportunities of financing window</i>
	<ul style="list-style-type: none"> <li>Overview: Project Evaluation and Funds Channelling Flow for SP-RCC</li> </ul> <p><b>(DCC or MPI?)</b></p>	To share and present the framework of funds flow which will set the tone for the afternoon dialogue
	<ul style="list-style-type: none"> <li>The <b>GCF and GEF</b> work in cities, examples from UNDP <i>(tbc)</i></li> </ul>	<i>Objective of these presentations is to give the participants concrete idea/guide on how to access climate funding.</i>
	<ul style="list-style-type: none"> <li><b>Adaptation Fund</b> initiatives from UN-Habitat for cities and human settlements</li> </ul>	
	<ul style="list-style-type: none"> <li>Increasing <b>Private Sector engagement</b> in cities for local climate actions and green growth investments (Insights from GGGI) <i>(tbc)</i></li> </ul>	
	<ul style="list-style-type: none"> <li>Urban Climate Change Resilience Trust Fund at the <b>ADB</b> <i>(tbc)</i></li> </ul>	<i>Each presenter will focus on:</i> <ul style="list-style-type: none"> <li>Overview of the financing window/mechanism or</li> </ul>

	<ul style="list-style-type: none"> <li>• City cooperation for <b>Bilateral initiatives:</b> The German Governments, International Climate Initiatives (<i>tbc</i>)</li> </ul>	<p><i>opportunities they are presenting</i></p> <ul style="list-style-type: none"> <li>- <i>Potentials for cities to tap this resources through SP-RCC or directly? How?</i></li> <li>- <i>What actions are funded/prioritized</i></li> <li>- <i>Criteria, timing, etc</i></li> <li>- <i>Other specific requirements</i></li> <li>- <i>Examples where cities are able to access or were/are supported</i></li> </ul>
<b>16:30 – 16:40</b>	(Facilitated) Q/A and Open Discussion on Part 3	
<b>16:40 – 17:00</b>	<p>Conclusion and Closing for the Morning Session</p> <p>Closing Remarks (DCC)</p>	